

## Summary of Audit Report on Department of Financial Services

Transparency Audit w.r.t to Proactive {Suo Moto} Disclosures u/s 4 of the RTI Act,2005) of **Department of Financial Services** for the year 2024-25 conducted by Arun Jaitley National Institute of Financial Management (AJNIFM), Faridabad (an Autonomous Body under Ministry of Expenditure, GOI).

The main disclosures are w.r.t. 1) Organization and Functions, 2) Budget and programmes, 3) Publicity Band and Public Interface, 4) E-Governance, 5) Information, as may be prescribed and 6) Information disclosed on own initiative. Under the aforesaid main headings, about 150 subheadings have been delineated/created by the CIC, making it a very comprehensive/focused audit format.

AJNIFM has attempted a very comprehensive audit and submitted its remarks/observations in subheadings/serial numbers after cross-checking the **Department of Financial Services** web portal/URLs mentioned by them. At the appropriate places, AJNIFM has also made suggestions for improvement with the ultimate aim of encouraging high-quality proactive (Suo-moto) disclosures of information for the benefit of the public/citizens at large.

Category ratings for each subheading/serial number have been done after cross-verifying the Web address/URLs mentioned by the PA. The marks awarded column is accordingly auto-populated.

### **Key observations under the specified broad headings are outlined below:**

The audit of the RTI page of DFS indicates that the department has proactively disclosed essential information as required under Section 4 of the RTI Act, 2005. This includes details on the powers and duties of officers and employees, procedures followed in decision-making, an officer directory, an updated list of CPIOs, information on foreign and domestic tours undertaken by Joint Secretary-level officials, and relevant data on subsidy programmes, among others.

**However, certain areas have been noted where the disclosure of information may be further improved, and the following suggestions are offered to enhance the completeness and compliance:**

#### **i. Compensation System:**

It is recommended that the RTI page of DFS incorporate details regarding the compensation framework as specified in the department's governing regulations, in accordance with point (1.9.2).

#### **ii. Total Budget:**

DFS may consider disclosing the total budget allocated to the public authority, along with relevant financial details, to enhance transparency and align with the proactive disclosure requirements under Section 4 of the RTI Act, 2005 (2.1.1).

**iii. CAG & PAC Paras and Action Taken Reports (ATRs):**

With reference to (Point 2.4) while it is possible that information related to CAG and PAC paras and the corresponding Action Taken Reports (ATRs) laid before both Houses of Parliament exists, no suitable link has been provided on the RTI page to access the same. Providing a direct and accessible link would enhance transparency and ensure alignment with the proactive disclosure requirements under the RTI Act, 2005.

**iv. Citizen's Charter and Performance Reports:**

Under (Point 4.4), since this requirement is applicable to the DFS, information such as (a) the Citizen's Charter, and (b) six-monthly reports on performance against the benchmarks set therein, may be appropriately disclosed. Providing suitable links to access these documents would support proactive transparency as envisaged under Section 4 of the RTI Act, 2005.

**v. RTI Applications, Appeals, and Orders:**

Under Points (4.6.1 and 4.6.2), information regarding the receipt and disposal of RTI applications and appeals, along with the orders issued, may be disclosed on the RTI page. Making this information accessible would contribute to greater transparency and alignment with the proactive disclosure provisions of the RTI Act, 2005.

**vi. Security Certification**

DFS may obtain the necessary security (STQC) certification at the earliest and disclose the same on its website (6.2.1 & 6.2.2).

**Overall, the Department of Financial Services (DFS) has made commendable efforts towards proactive disclosure in accordance with the provisions of Section 4 of the RTI Act, 2005, by publishing relevant information on its official website, thereby obviating the need for citizens to seek such information through formal RTI applications. It is recommended that DFS duly consider the observations and suggestions outlined above to enhance further the scope, clarity, and accessibility of the information disclosed.**

Audited by  
Dr. B.K. Panty  
P.B. Asst. Comptroller  
10/8/2020  
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Self appraisal report for Year (2024-25)

**Auditor Agency: JAYA BHALLA(ARUN JAITLEY NATIONAL INSTITUTE OF FINANCIAL MANAGEMENT)**

**Ministry Name: Ministry of Finance**

**Department Name: Department of Financial Services**

**Public Authority Name: Department of Financial Services**

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
1	<b>Organisation and Function</b>							
1.1	<b>Particulars of its organisation, functions and duties [Section 4(1)(b)(i)]</b>							
1.1.1	Name and address of the Organization	Fully Met	1.28	1.28	https://financialservices.gov.in/beta/en/contact-us	Fully Met	1.28	Fully Met https://financialservices.gov.in/beta/en/contact-us
1.1.2	Head of the organization	Fully Met	1.28	1.28	https://financialservices.gov.in/beta/en/secretary-1	Fully Met	1.28	Fully Met https://financialservices.gov.in/beta/en/secretary-1
1.1.3	Vision, Mission and Key objectives	Fully Met	1.28	1.28	https://financialservices.gov.in/beta/en/page/overview	Fully Met	1.28	Fully Met https://financialservices.gov.in/beta/en/page/overview vision, Mission is not clearly mentioned in the given link

*Accepted on 10/8/2025  
by  
Dr. B. K. Pandey Professor  
JNMFIS*

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
1.1.4	Function and duties	Fully Met	1.28	1.28	https://financia lservices.gov.i n/beta/en/allo cation-of- business	Fully Met	1.28	Fully Met http s://financialser vices.gov.in/b eta/en/allocati on-of- business
1.1.5	Organization Chart	Fully Met	1.28	1.28	https://financia lservices.gov.i n/beta/en/pag e/organisati on-chart	Fully Met	1.28	Fully Met http s://financialser vices.gov.in/b eta/en/page/or ganisational- chart
1.1.6	Any other details-the genesis, inception, formation of the department and the HoDs from time to time as well as the committees/ Commissions constituted from time to time have been dealt	Fully Met	1.28	1.28	https://financia lservices.gov.i n/beta/en/pag e/overview	Fully Met	1.28	Fully Met http s://financialser vices.gov.in/b eta/en/page/o verview
1.2	<b>Power and duties of its officers and employees</b> [Section 4(1) (b)(ii)]							
1.2.1	Powers and duties of officers (administrative, financial and judicial)	Fully Met	1.92	1.92	https://financia lservices.gov.i n/beta/en/wor k-allocation	Fully Met	1.92	Fully Met http s://financialser vices.gov.in/b eta/en/work- allocation
1.2.2	Power and duties of other employees	Fully Met	1.92	1.92	https://financia lservices.gov.i n/beta/en/wor k-allocation	Fully Met	1.92	Fully Met http s://financialser vices.gov.in/b eta/en/work- allocation
1.2.3	Rules/ orders under which powers and duty derived and exercised	Fully Met	1.92	1.92	https://financia lservices.gov.i n/beta/en/wor k-allocation	Fully Met	1.92	Fully Met http s://financialser vices.gov.in/b eta/en/work-

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
1.2.4	Work allocation	Fully Met	1.92	1.92	https://financialservices.gov.in/beta/en/work-allocation	Fully Met	1.92	Fully Met http s://financialservices.gov.in/beta/en/work-allocation
<b>1.3</b>	<b>Procedure followed in decision making process [Section 4(1)(b)(iii)]</b>							
1.3.1	Process of decision making - Identify key decision making points	Fully Met	1.54	1.54	https://financialservices.gov.in/beta/en/acts-rule-search-page	Fully Met	1.54	Fully Met http s://financialservices.gov.in/beta/en/acts-rule-search-page
1.3.2	Final decision making authority	Fully Met	1.54	1.54	https://financialservices.gov.in/beta/en/acts-rule-search-page	Fully Met	1.54	Fully Met http s://financialservices.gov.in/beta/en/acts-rule-search-page
1.3.3	Related provisions, acts, rules etc.	Fully Met	1.54	1.54	https://financialservices.gov.in/beta/en/acts-rule-search-page	Fully Met	1.54	Fully Met http s://financialservices.gov.in/beta/en/acts-rule-search-page
1.3.4	Time limit for taking a decisions, if any	Fully Met	1.54	1.54	https://financialservices.gov.in/beta/en/acts-rule-search-page	Fully Met	1.54	Fully Met http s://financialservices.gov.in/beta/en/acts-rule-search-page
1.3.5	Channels of supervision and accountability	Fully Met	1.54	1.54	https://financialservices.gov.in/beta/en/acts-rule-search-page	Fully Met	1.54	Fully Met http s://financialservices.gov.in/beta/en/acts-rule-search-page

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
<b>1.4</b>	<b>Norms for discharge of functions[Section 4(1)(b)(iv)]</b>							
1.4.1	Nature of functions/ services offered	Fully Met	1.54	1.54	https://financialservices.gov.in/beta/en/work-allocation	Fully Met	1.54	Fully Met https://financialservices.gov.in/beta/en/work-allocation
1.4.2	Norms/ standards for functions/ service delivery	Fully Met	1.54	1.54	https://financialservices.gov.in/beta/en/work-allocation	Fully Met	1.54	Fully Met https://financialservices.gov.in/beta/en/work-allocation
1.4.3	Process by which these services can be accessed	Fully Met	1.54	1.54	https://financialservices.gov.in/beta/en/work-allocation	Fully Met	1.54	Fully Met https://financialservices.gov.in/beta/en/work-allocation
1.4.4	Time-limit for achieving the targets	Fully Met	1.54	1.54	https://financialservices.gov.in/beta/en/work-allocation	Fully Met	1.54	Fully Met https://financialservices.gov.in/beta/en/work-allocation
1.4.5	Process of redressal of grievances	Fully Met	1.54	1.54	https://financialservices.gov.in/beta/en/grievances-overview	Fully Met	1.54	Fully Met https://financialservices.gov.in/beta/en/grievances-overview
<b>1.5</b>	<b>Acts, rules, regulations, instructions, manuals and records for discharging functions[Section 4(1)(b)(v)]</b>							
1.5.1	Title and nature of the record/ manual /instruction.	Fully Met	2.6	2.60	https://financialservices.gov.in/beta/en/acts-rule-search-page	Fully Met	2.60	Fully Met https://financialservices.gov.in/beta/en/acts-rule-search-page

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
1.5.2	List of Acts, rules, regulations, instructions, manuals and records.	Fully Met	2.6	2.60	https://financialservices.gov.in/beta/en/acts-rule-search-page	Fully Met	2.60	Fully Met https://financialservices.gov.in/beta/en/acts-rule-search-page
1.5.3	Acts/ Rules/ manuals, etc.	Fully Met	2.6	2.60	https://financialservices.gov.in/beta/en/acts-rule-search-page	Fully Met	2.60	Fully Met https://financialservices.gov.in/beta/en/acts-rule-search-page
<b>1.6</b>	<b>Categories of documents held by the authority under its control [Section 4(1)(b) (vi)]</b>							
1.6.1	Categories of documents	Fully Met	3.85	3.85	https://financialservices.gov.in/beta/en	Fully Met	3.85	Fully Met https://financialservices.gov.in/beta/en
1.6.2	Custodian of documents/categories	Fully Met	3.85	3.85	https://financialservices.gov.in/beta/en/secretary-1	Fully Met	3.85	Fully Met https://financialservices.gov.in/beta/en/secretary-1
<b>1.7</b>	<b>Boards, Councils, Committees and other Bodies constituted as part of the Public Authority [Section 4(1)(b)(viii)]</b>							
1.7.1	Name of Boards, Council, Committee etc.	Not Applicable	0	0	empty	Not Applicable	0	NA
1.7.2	Composition	Not Applicable	0	0	empty	Not Applicable	0	NA
1.7.3	Dates from which constituted	Not Applicable	0	0	empty	Not Applicable	0	NA
1.7.4	Term/ Tenure	Not Applicable	0	0	empty	Not Applicable	0	NA
1.7.5	Powers and functions	Not Applicable	0	0	empty	Not Applicable	0	NA
1.7.6	Whether their meetings are open to the public?	Not Applicable	0	0	empty	Not Applicable	0	NA
1.7.7	Whether the minutes of the meetings are open to the public?	Not Applicable	0	0	empty	Not Applicable	0	NA
1.7.8	Place where the minutes if open to the public are available?	Not Applicable	0	0	empty	Not Applicable	0	NA
<b>1.8</b>	<b>Directory of officers and employees [Section 4(1) (b) (ix)]</b>							

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
1.8.1	Name and designation	Fully Met	3.85	3.85	https://financialservices.gov.in/beta/en/telephone-directory	Fully Met	3.85	Fully Met <a href="https://financialservices.gov.in/beta/en/telephone-directory">https://financialservices.gov.in/beta/en/telephone-directory</a>
1.8.2	Telephone , fax and email ID	Fully Met	3.85	3.85	https://financialservices.gov.in/beta/en/telephone-directory	Fully Met	3.85	Fully Met <a href="https://financialservices.gov.in/beta/en/telephone-directory">https://financialservices.gov.in/beta/en/telephone-directory</a>
<b>1.9</b>	<b>Monthly Remuneration received by officers &amp; employees including system of compensation</b> [Section 4(1) (b) (x)]							
1.9.1	List of employees with Gross monthly remuneration	Fully Met	3.85	3.85	https://financialservices.gov.in/beta/en/right-to-information	Fully Met	3.85	Fully Met
1.9.2	System of compensation as provided in its regulations	Not Applicable	0	0	empty	Not Met	0	Not Met The DFS may offer a compensation mechanism in accordance with the provisions outlined in its regulations.
<b>1.10</b>	<b>Name, designation and other particulars of Public Information Officers</b> [Section 4(1) (b) (xvi)]							
1.10.1	Name and designation of the Public Information Officers (PIOs), Assistant Public Information Officer(s) & Appellate Authority	Fully Met	3.85	3.85	https://financialservices.gov.in/beta/en/right-to-information	Fully Met	3.85	Fully Met
1.10.2	Address, telephone numbers and email ID of each designated official.	Fully Met	3.85	3.85	https://financialservices.gov.in/beta/en/right-to-information	Fully Met	3.85	Fully Met

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
1.11	<b>Number of employees against whom disciplinary action has been proposed/ taken (F No. 1/6/2011- IR dt. 15.4.2013)</b>							
1.11.1	Number of employees against whom disciplinary action has been (i) Pending for minor penalty or major penalty proceedings	Not Applicable	0	0	empty	Not Applicable	0	NA
1.11.2	(ii) Finalised for minor penalty or major penalty proceedings	Not Applicable	0	0	empty	Not Applicable	0	NA
1.12	<b>Programmes to advance understanding of RTI(Section 26)</b>							
1.12.1	Educational programmes	Fully Met	1.92	1.92	https://financiaIservices.gov.in/beta/en/right-to-information	Fully Met	1.92	Fully Met
1.12.2	Efforts to encourage public authority to participate in these programmes	Fully Met	1.92	1.92	https://financiaIservices.gov.in/beta/en/right-to-information	Fully Met	1.92	Fully Met
1.12.3	Training of CPIO/APIO	Fully Met	1.92	1.92	https://financiaIservices.gov.in/beta/en/right-to-information	Fully Met	1.92	Fully Met
1.12.4	Update & publish guidelines on RTI by the Public Authorities concerned	Fully Met	1.92	1.92	https://financiaIservices.gov.in/beta/en/right-to-information	Fully Met	1.92	Fully Met
1.13	<b>Transfer policy and transfer orders[F No. 1/6/2011- IR dt. 15.4.2013]</b>							
1.13.1	Transfer policy and transfer orders[F No. 1/6/2011- IR Dt. 15.4.2013]	Fully Met	7.69	7.69	https://financiaIservices.gov.in/beta/en/notification	Fully Met	7.69	Fully Met
<b>Total</b>			<b>81</b>	<b>81</b>			<b>85</b>	<b>81</b>
<b>2</b>	<b>Budget and Programme</b>							

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
<b>2.1</b>	<b>Budget allocated to each agency including all plans, proposed expenditure and reports on disbursements made etc.[Section 4(1)(b)(xi)]</b>							
2.1.1	Total Budget for the public authority	Not Applicable	0	0	empty	Not Applicable	0	NA
2.1.2	Budget for each agency and plan & programmes	Not Applicable	0	0	empty	Not Applicable	0	NA
2.1.3	Proposed expenditures	Not Applicable	0	0	empty	Not Applicable	0	NA
2.1.4	Revised budget for each agency, if any	Not Applicable	0	0	empty	Not Applicable	0	NA
2.1.5	Report on disbursements made and place where the related reports are available	Not Applicable	0	0	empty	Not Applicable	0	NA
2.1.6	Information related to procurements- (a) Notice/tender enquires, and corrigenda if any thereon, (b) Details of the bids awarded comprising the names of the suppliers of goods/ services being procured, (c) The works contracts concluded – in any such combination of the above-and, (d) The rate/ rates and the total amount at which such procurement or works contract is to be executed.	Not Applicable	0	0	empty	Not Applicable	0	NA
<b>2.2</b>	<b>Foreign and domestic tours(F.No. 1/8/2012- IR dt. 11.9.2012)</b>							
2.2.1	Budget	Fully Met	20	20.00	https://financialservices.gov.in/beta/en/annual-report	Fully Met	20.00	Fully Met http://financialservices.gov.in/beta/en/annual-report
2.2.2	Foreign and domestic tours by ministries and officials of the rank of Joint Secretary to the Government and above, as well as the Heads of the Department.- (a) Places visited, (b) The period of visit, (c) The number of members in the official delegation, (d) Expenditure on the visit	Fully Met	20	20.00	https://financialservices.gov.in/beta/en/right-to-information	Fully Met	20.00	Fully Met http://financialservices.gov.in/beta/en/right-to-information
<b>2.3</b>	<b>Manner of execution of subsidy programme [Section 4(i)(b)(xii)]</b>							
2.3.1	Name of the programme of activity	Fully Met	6.25	6.25	https://dfs.nic.in/board.nic.in/	Fully Met	6.25	Fully Met http://dfs.dashboard

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
2.3.2	Objective of the programme	Fully Met	6.25	6.25	DashboardF.a spx https://dfs.das hboard.nic.in/ DashboardF.a spx	Fully Met	6.25	Fully Met http ard.nic.in/Das hboard s://dfs.dashbo ard.nic.in/Das hboard
2.3.3	Procedure to avail benefits	Fully Met	6.25	6.25	https://dfs.das hboard.nic.in/ DashboardF.a spx	Fully Met	6.25	Fully Met http s://dfs.dashbo ard.nic.in/Das hboard
2.3.4	Duration of the programme/ scheme	Fully Met	6.25	6.25	https://dfs.das hboard.nic.in/ DashboardF.a spx	Fully Met	6.25	Fully Met http s://dfs.dashbo ard.nic.in/Das hboard
2.3.5	Physical and financial targets of the programme	Fully Met	6.25	6.25	https://dfs.das hboard.nic.in/ DashboardF.a spx	Fully Met	6.25	Fully Met http s://dfs.dashbo ard.nic.in/Das hboard
2.3.6	Nature/ scale of subsidy /amount allotted	Fully Met	6.25	6.25	https://dfs.das hboard.nic.in/ DashboardF.a spx	Fully Met	6.25	Fully Met http s://dfs.dashbo ard.nic.in/Das hboard
2.3.7	Eligibility criteria for grant of subsidy	Fully Met	6.25	6.25	https://dfs.das hboard.nic.in/ DashboardF.a spx	Fully Met	6.25	Fully Met https ://dfs.dashboa rd.nic.in/Dash board
2.3.8	Details of beneficiaries of subsidy programme (number, profile etc)	Fully Met	6.25	6.25	https://dfs.das hboard.nic.in/ DashboardF.a spx	Fully Met	6.25	Fully Met http s://dfs.dashbo ard.nic.in/Das hboard
2.4	<b>Discretionary and non-discretionary grants [F. No. 1/6/2011-IR dt. 15.04.2013]</b>							
2.4.1	Discretionary and non-discretionary grants/	Not Applicable	0	0	empty	Not Applicable	Not Applicable	NA

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
2.4.2	allocations to State Govt./ NGOs/other institutions Annual accounts of all legal entities who are provided grants by public authorities	Not Applicable	0	0	empty	Not Applicable	0	NA
<b>2.5</b>	<b>Particulars of recipients of concessions, permits of authorizations granted by the public authority [Section 4(1) (b) (xiii)]</b>							
2.5.1	Concessions, permits or authorizations granted by public authority	Not Applicable	0	0	empty	Not Applicable	0	NA
2.5.2	For each concessions, permit or authorization granted - (a) Eligibility criteria, (b) Procedure for getting the concession/ grant and/ or permits of authorizations, (c) Name and address of the recipients given concessions/ permits or authorizations, (d) Date of award of concessions/ permits of authorizations	Not Applicable	0	0	empty	Not Applicable	0	NA
<b>2.6</b>	<b>CAG &amp; PAC paras [F No. 1/6/2011 - IR dt. 15.4.2013]</b>							
2.6.1	CAG and PAC paras and the action taken reports (ATRs) after these have been laid on the table of both houses of the Parliament.	Not Met	50	0	empty	Not Met	0	Not Met DFS may consider providing link to access the replies given to the parliamentary questions
<b>Total</b>			<b>140</b>	<b>90</b>		<b>140</b>	<b>90</b>	
<b>3</b>	<b>Publicity and Public interface</b>							
<b>3.1</b>	<b>Particulars for any arrangement for consultation with or representation by the members of the public in relation to the formulation of policy or implementation thereof [Section 4(1)(b)(viii)] [F No 1/6/2011-IR dt. 15.04.2013]</b>							
3.1.1	Relevant Acts, Rules, Forms and other documents which are normally accessed by citizens	Fully Met	12.5	12.50	<a href="https://financialservices.gov.in/beta/en/acts-rule-search-page">https://financialservices.gov.in/beta/en/acts-rule-search-page</a>	Fully Met	12.50	Fully Met <a href="https://financialservices.gov.in/beta/en/acts-rule-search-page">https://financialservices.gov.in/beta/en/acts-rule-search-page</a>
3.1.2	a) Arrangements for consultation with or	Fully Met	12.5	12.50	<a href="https://financialservices.gov.in/beta/en/acts-rule-search-page">https://financialservices.gov.in/beta/en/acts-rule-search-page</a>	Fully Met	12.50	Fully Met <a href="https://financialservices.gov.in/beta/en/acts-rule-search-page">https://financialservices.gov.in/beta/en/acts-rule-search-page</a>

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
	representation by members of the public in policy formulation/ policy implementation, (b) Day & time allotted for visitors,(c) Contact details of Information & Facilitation Counter (IFC) to provide publications frequently sought by RTI applicants				services.gov.in/beta/en/right-to-information			s://financialservices.gov.in/beta/en/right-to-information
3.1.3	Public- Private Partnerships (PPP)- Details of Special Purpose Vehicle (SPV), if any	Not Applicable0	0	0	empty	Not Applicable0	0	NA
3.1.4	Public- Private Partnerships (PPP)- Detailed project reports (DPRs)	Not Applicable0	0	0	empty	Not Applicable0	0	NA
3.1.5	Public- Private Partnerships (PPP)- Concession agreements.	Not Applicable0	0	0	empty	Not Applicable0	0	NA
3.1.6	Public- Private Partnerships (PPP)- Operation and maintenance manuals	Not Applicable0	0	0	empty	Not Applicable0	0	NA
3.1.7	Public- Private Partnerships (PPP) - Other documents generated as part of the implementation of the PPP	Not Applicable0	0	0	empty	Not Applicable0	0	NA
3.1.8	Public- Private Partnerships (PPP) - Information relating to fees, tolls, or the other kinds of revenues that may be collected under authorisation from the government	Not Applicable0	0	0	empty	Not Applicable0	0	NA
3.1.9	Public- Private Partnerships (PPP) -Information relating to outputs and outcomes	Not Applicable0	0	0	empty	Not Applicable0	0	NA
3.1.10	Public- Private Partnerships (PPP) - The process of the selection of the private sector party (concessionaire etc.)	Not Applicable0	0	0	empty	Not Applicable0	0	NA
3.1.11	Public- Private Partnerships (PPP) - All payment made under the PPP project	Not Applicable0	0	0	empty	Not Applicable0	0	NA
<b>3.2</b>	<b>Are the details of policies / decisions, which affect public, informed to them[Section 4(1) (c)]</b>							
3.2.1	Publish all relevant facts while formulating important policies or announcing decisions which affect public to make the process more interactive	Fully Met	16.67	16.67	https://financialservices.gov.in/beta/en/acts	Fully Met	16.67	Fully Met <a href="http://financialservices.gov.in/beta/en/acts">http://financialservices.gov.in/beta/en/acts</a>

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
3.2.2	- Policy decisions/ legislations taken in the previous one year Publish all relevant facts while formulating important policies or announcing decisions which affect public to make the process more interactive - Outline the Public consultation process	Fully Met	16.67	16.67	-rule-search-page https://financialservices.gov.in/beta/en/acts-rule-search-page	Fully Met	16.67	Fully Met http://financialservices.gov.in/beta/en/acts-rule-search-page
3.2.3	Publish all relevant facts while formulating important policies or announcing decisions which affect public to make the process more interactive- Outline the arrangement for consultation before formulation of policy	Fully Met	16.67	16.67	https://financialservices.gov.in/beta/en/acts-rule-search-page	Fully Met	16.67	Fully Met http://financialservices.gov.in/beta/en/acts-rule-search-page
3.3	<b>Dissemination of information widely and in such form and manner which is easily accessible to the public [Section 4(3)]</b>							
3.3.1	Use of the most effective means of communication - Internet (website)	Fully Met	50	50.00	https://financialservices.gov.in/beta/en	Fully Met	50.00	Fully Met http://financialservices.gov.in/beta/en
3.4	<b>Form of accessibility of information manual/ handbook[Section 4(1)(b)]</b>							
3.4.1	Information manual/handbook available in electronic format	Fully Met	25	25.00	https://financialservices.gov.in/beta/en/annual-report	Fully Met	25.00	Fully Met http://financialservices.gov.in/beta/en/annual-report
3.4.2	Information manual/handbook available in printed format	Fully Met	25	25.00	https://financialservices.gov.in/beta/en/annual-report	Fully Met	25.00	Fully Met http://financialservices.gov.in/beta/en/annual-report
3.5	<b>Whether information manual/ handbook available free of cost or not [Section 4(1)(b)]</b>							
3.5.1	List of materials available Free of cost	Fully Met	25	25.00	https://financialservices.gov.in/beta/en/ann	Fully Met	25.00	Fully Met http://financialservices.gov.in/beta/en/ann

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
3.5.2	List of materials available at a reasonable cost of the medium	Fully Met	25	25.00	annual-report	Fully Met	25.00	http://financialservices.gov.in/beta/en/annual-report
<b>Total</b>			<b>225</b>	<b>225</b>		<b>225</b>	<b>225</b>	
<b>4</b>	<b>E-Governance</b>							
<b>4.1</b>	<b>Language in which Information Manual/Handbook Available [F No. 1/6/2011-IR dt. 15.4.2013]</b>							
4.1.1	Hindi	Fully Met	9.52	9.52	https://financialservices.gov.in	Fully Met	9.52	Fully Met http://financialservices.gov.in
4.1.2	English	Fully Met	9.52	9.52	https://financialservices.gov.in	Fully Met	9.52	Fully Met http://financialservices.gov.in
4.1.3	Vernacular/ Local Language	Fully Met	9.52	9.52	https://financialservices.gov.in	Fully Met	9.52	Fully Met http://financialservices.gov.in
<b>4.2</b>	<b>When was the information Manual/Handbook last updated?[F No. 1/6/2011-IR dt 15.4.2013]</b>							
4.2.1	Last date of Annual update	Fully Met	28.57	28.57	https://financialservices.gov.in/beta/en/annual-report	Fully Met	28.57	Fully Met http://financialservices.gov.in/beta/en/annual-report
<b>4.3</b>	<b>Information available in electronic form[Section 4(1)(b)(xiv)]</b>							
4.3.1	Details of information available in electronic form	Fully Met	9.52	9.52	https://financialservices.gov.in/beta/en/	Fully Met	9.52	Fully Met http://financialservices.gov.in/beta/en/
4.3.2	Name/ title of the document/record/ other information	Fully Met	9.52	9.52	https://financialservices.gov.in	Fully Met	9.52	Fully Met http://financialservices.gov.in

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
4.3.3	Location where available	Fully Met	9.52	9.52	n/beta/en/ https://financialservices.gov.in/beta/en/	Fully Met	9.52	Fully Met http s://financialservices.gov.in/beta/en/
<b>4.4</b>	<b>Particulars of facilities available to citizen for obtaining information[Section 4(1)(b)(xv)]</b>							
4.4.1	Name & location of the facility	Fully Met	7.14	7.14	https://financialservices.gov.in/beta/en/right-to-information	Fully Met	7.14	Fully Met http s://financialservices.gov.in/beta/en/right-to-information
4.4.2	Details of information made available	Fully Met	7.14	7.14	https://financialservices.gov.in/beta/en/right-to-information	Fully Met	7.14	Fully Met http s://financialservices.gov.in/beta/en/right-to-information
4.4.3	Working hours of the facility	Fully Met	7.14	7.14	https://financialservices.gov.in/beta/en/right-to-information	Fully Met	7.14	Fully Met http s://financialservices.gov.in/beta/en/right-to-information
4.4.4	Contact person & contact details (Phone, fax, email)	Fully Met	7.14	7.14	https://financialservices.gov.in/beta/en/right-to-information	Fully Met	7.14	Fully Met http s://financialservices.gov.in/beta/en/right-to-information
<b>4.5</b>	<b>Such other information as may be prescribed under Section 4(i) (b)(xvii)</b>							
4.5.1	Grievance redressal mechanism	Fully Met	4.1	4.10	https://financialservices.gov.in/beta/en/grievances-	Fully Met	4.10	Fully Met http s://financialservices.gov.in/beta/en/grievan

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
4.5.7	Any other information such as - (a) Citizen's Charter, (b) Six monthly reports on the performance against the benchmarks set in the Citizen's Charter	Not Applicable	0	0	empty	Not Met	0	Not Met It is applicable and DFS may provide a detailed citizen charter along with the Client expectations and performance benchmarks to assess outcomes
<b>4.6</b>	<b>Receipt &amp; Disposal of RTI applications &amp; appeals [F.No 1/6/2011-IR dt. 15.04.2013]</b>							
4.6.1	Details of applications received and disposed	Not Met	14.29	0	empty	Not Met	0	Not Met DFS may provide details of RTI applications received and disposed
4.6.2	Details of appeals received and orders issued	Not Met	14.29	0	empty	Not Met	0	Not Met
<b>4.7</b>	<b>Replies to questions asked in the Parliament[Section 4(1)(b)(xvii)]</b>							
4.7.1	Details of questions asked and replies given	Not Met	28.57	0	empty	Fully Met	28.57	Fully Met Replies given to Lok Sabha and Rajya Sabha are available on DFS RTI page. Hence, it is fully met.

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
4.5.2	List of completed schemes/ projects/ Programmes	Fully Met	4.1	4.10	overview https://financialservices.gov.in/beta/en/schemes-overview	Fully Met	4.10	Fully Met http://financialservices.gov.in/beta/en/schemes-overview
4.5.3	List of schemes/ projects/ programme underway	Fully Met	4.1	4.10	https://financialservices.gov.in/beta/en/schemes-overview	Fully Met	4.10	Fully Met http://financialservices.gov.in/beta/en/schemes-overview
4.5.4	Details of all contracts entered into including name of the contractor, amount of contract and period of completion of contract	Not Applicable	0	0	empty	Not Met	0	Not Met DFS may provide details regarding contracts amount of contract and completion period
4.5.5	Annual Report	Fully Met	4.1	4.10	https://financialservices.gov.in/beta/en/annual-report	Fully Met	4.10	Fully Met http://financialservices.gov.in/beta/en/annual-report
4.5.6	Frequently Asked Question (FAQs)	Fully Met	4.1	4.10	https://financialservices.gov.in/beta/index.php/en/page/frequently-asked-questions-faqs	Fully Met	4.10	Fully Met http://financialservices.gov.in/beta/index.php/en/page/frequently-asked-questions-faqs

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
<b>Total</b>			<b>192</b>	<b>135</b>		<b>200</b>	<b>163</b>	
<b>5</b>	<b>Information as may be prescribed</b>							
<b>5.1</b>	<b>Such other information as may be prescribed [F.No. 1/2/2016-IR dt. 17.8.2016, F No. 1/6/2011-IR dt. 15.4.2013]</b>							
5.1.1	Name & details of - (a) Current CPIOs & FAAs, (b) Earlier CPIO & FAAs from 1.1.2015	Fully Met	20	20.00	https://financialservices.gov.in/beta/en/right-to-information	Fully Met	20.00	Fully Met <a href="https://financialservices.gov.in/beta/en/right-to-information">https://financialservices.gov.in/beta/en/right-to-information</a>
5.1.2	Details of third party audit of voluntary disclosure - (a) Dates of audit carried out, (b) Report of the audit carried out	Fully Met	20	20.00	https://financialservices.gov.in/beta/en/right-to-information	Fully Met	20.00	Fully Met <a href="https://financialservices.gov.in/beta/en/right-to-information">https://financialservices.gov.in/beta/en/right-to-information</a>
5.1.3	Appointment of Nodal Officers not below the rank of Joint Secretary/ Additional HoD - (a) Date of appointment, (b) Name & Designation of the officers	Fully Met	20	20.00	https://financialservices.gov.in/beta/en/right-to-information	Fully Met	20.00	Fully Met <a href="https://financialservices.gov.in/beta/en/right-to-information">https://financialservices.gov.in/beta/en/right-to-information</a>
5.1.4	Consultancy committee of key stake holders for advice on suo-motu disclosure - (a) Dates from which constituted, (b) Name & Designation of the officers	Fully Met	20	20.00	https://financialservices.gov.in/beta/en/right-to-information	Fully Met	20.00	Fully Met <a href="https://financialservices.gov.in/beta/en/right-to-information">https://financialservices.gov.in/beta/en/right-to-information</a>
5.1.5	Committee of PIOs/FAAs with rich experience in RTI to identify frequently sought information under RTI - (a) Dates from which constituted, (b) Name & Designation of the Officers	Fully Met	20	20.00	https://financialservices.gov.in/beta/en/right-to-information	Fully Met	20.00	Fully Met <a href="https://financialservices.gov.in/beta/en/right-to-information">https://financialservices.gov.in/beta/en/right-to-information</a>

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
<b>Total</b>			<b>100</b>	<b>100</b>		<b>100</b>	<b>100</b>	
<b>6</b>	<b>Information Disclosed on own Initiative</b>							
<b>6.1</b>	<b>Item / information disclosed so that public have minimum resort to use of RTI Act to obtain information [Section 4(2)]</b>							
<b>6.1.1</b>	Item / information disclosed so that public have minimum resort to use of RTI Act to obtain information	Fully Met	25	25.00	https://financialservices.gov.in	Fully Met	25.00	Fully Met DFS has Suo moto disclosed all relevant information its website and its RTI page. However, certain items are identified where relevant information needs to be disclosed.
<b>6.2</b>	<b>Guidelines for Indian Government Websites (GIGW) is followed (released in February, 2009 and included in the Central Secretariat Manual of Office Procedures (CSMOP) by Department of Administrative Reforms and Public Grievances, Ministry of Personnel, Public Grievance and Pensions, Govt. Of India)</b>							
<b>6.2.1</b>	Whether STQC certification obtained and its validity	Partially Met	12.5	6.25	STQC audit in progress	Partially Met	6.25	Partially Met DFS may procure its security certification at the earliest and disclose the same on its website.
<b>6.2.2</b>	Does the website show the certificate on the Website?	Not Applicable	0	0	empty	Not Met	0	Not Met STQC

Sr. No Details of disclosure

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
			38	31		50	31	
			776	662		800	690	
<b>Total</b>								
<b>Grand Total</b>								

Audited on 10/8/2025  
 By Dr. B. K. Pradeep, Professor  
 ANNIFM  
 Kachana